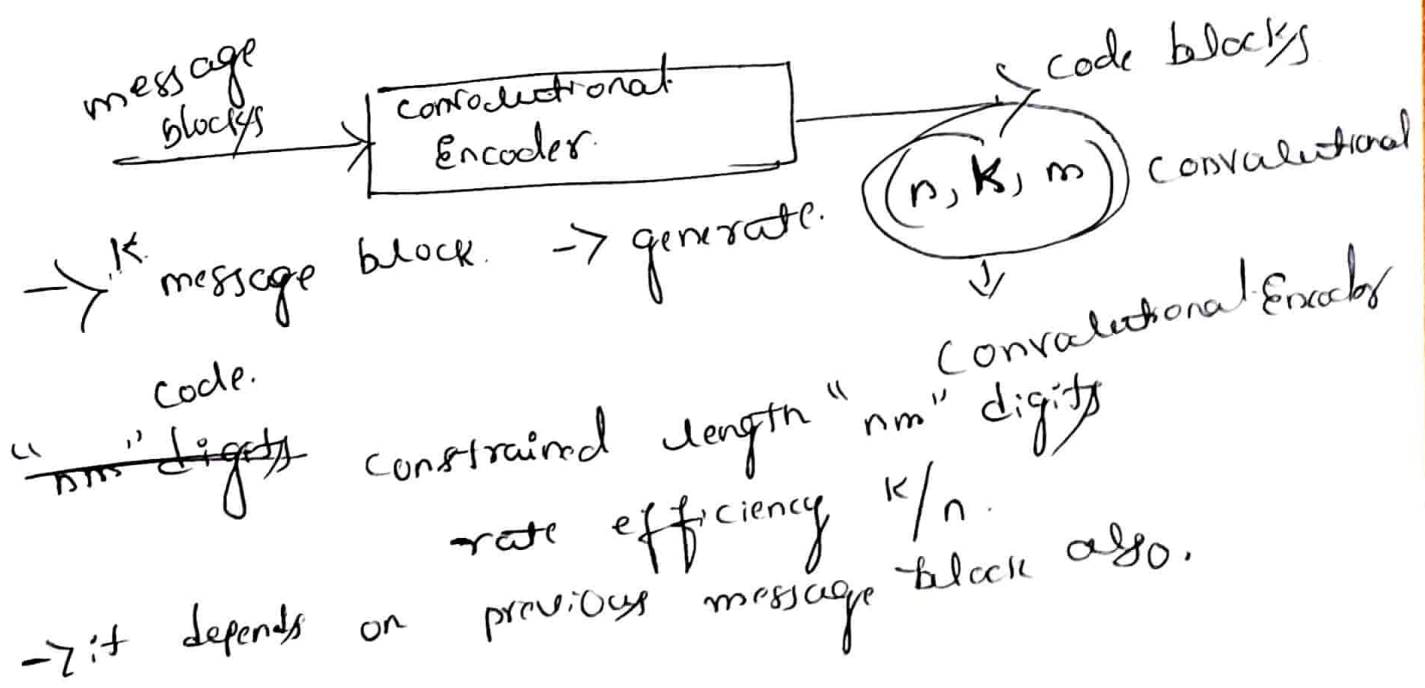


Convolutional codes

"block codes": a block of n digits generated by the encoder in a particular time unit depends only on the block of k msg digits within that time unit.

convolutional codes:- a block of n code digits generated by the encoder in a time unit depends on not only the block of k message digits within that time unit, but also on the preceding $(m-1)$ blocks of msg digits ($m > 1$). usually values of k & n will be small.

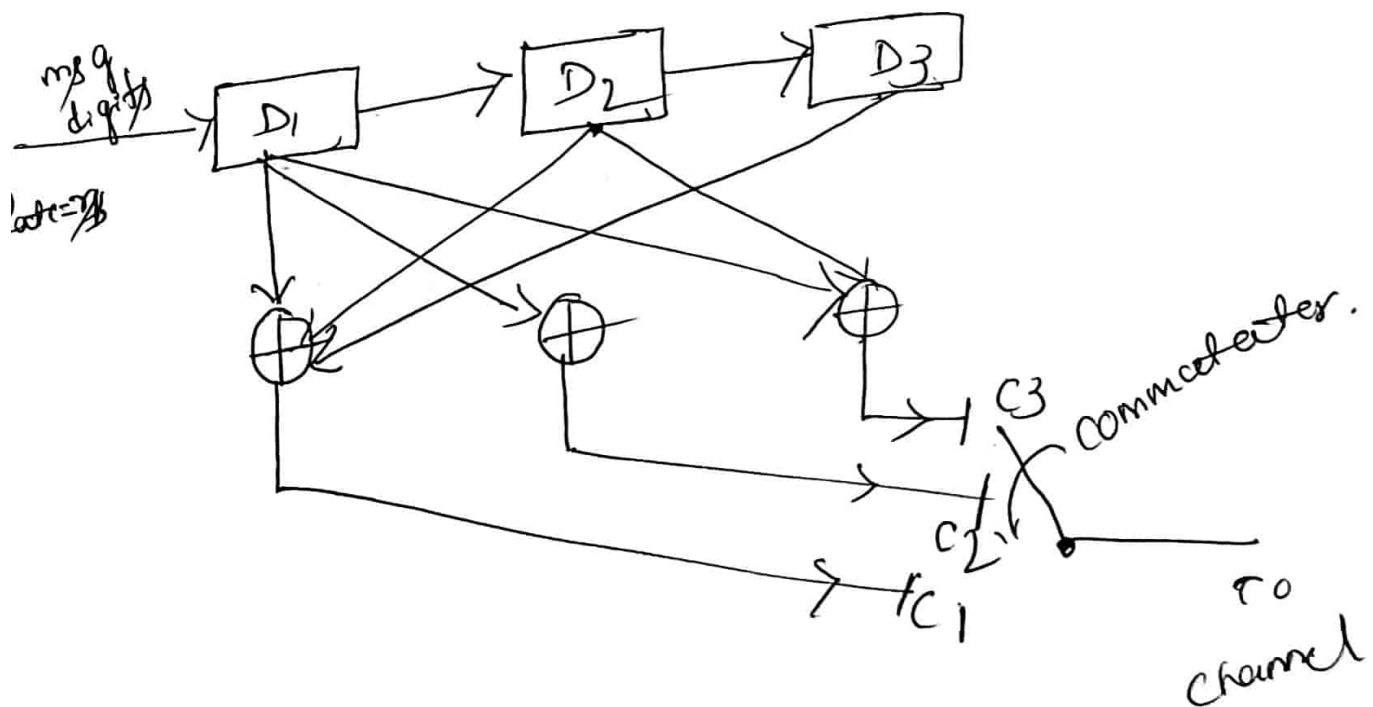
Encoder for convolutional codes:-



In a general. (n, k, m) convolutional encoder,
the following notations are used.

n = no. of o/p's = no. of modulo-2 adders (normally)
 k \Rightarrow no. of i/p bits entering at any time.
 m \Rightarrow no. of stage of shift registers
 = no. of flip-flops
 L = no. of bits in the message sequence.
 constraint length = $m \times n$ digits
 rate efficiency = k/n .

problem : An encoder for a $(n, k, m) = (3, 1, 3)$ convolutional code shown in below. Explain the operation of the encoder & hence obtain the o/p of the encoder.



i/p \rightarrow $d_1 d_2 d_3 d_4 d_5$

assignment

01011

i/p	Registers			O/p
	D_1	D_2	D_3	
1	0	0	0	111
0	1	0	0	101
0	0	1	0	100 011
1	0	0	1	010
1	1	1	0	001
0	0	1	1	100
0	0	0	1	000
0	0	0	0	000

$$C_3 = D_1 \oplus D_2$$

$$C_2 = D_1$$

$$C_1 = D_1 + D_2 + D_3$$

input response from top address.

$$q^{(1)} = 1011 - q_1^{(1)} q_2^{(1)} q_3^{(1)} q_4^{(1)}$$

using eqn (3)

~~data - 1 = 0 for all~~

$$k=1 \quad c_1^{(1)} = d_1 q_1^{(1)} + 0 + 0 + 0 = 1(1) = 1 //$$

$$k=2 \quad c_2^{(1)} = d_2 q_1^{(1)} + d_1 q_2^{(1)} + 0 + 0 = \\ = 0(1) + 1(0) = 0$$

$$k=3 \quad c_3^{(1)} = d_3 q_1^{(1)} + d_2 q_2^{(1)} + d_1 q_3^{(1)} + 0 \\ = (1)(1) + (0)(0) + (1)(1) = 1+1=0$$

$$k=4 \quad c_4^{(1)} = d_4 q_1^{(1)} + d_3 q_2^{(1)} + d_2 q_3^{(1)} + d_1 q_4^{(1)} \\ = 0 + 1 + 1 = 0$$

$$k=5 \quad c_5^{(1)} = d_5 q_1^{(1)} + d_4 q_2^{(1)} + d_3 q_3^{(1)} + d_2 q_4^{(1)} \\ = 1 + 1 = 0 //$$

$$k=6 \quad c_6^{(1)} = d_6 q_1^{(1)} + d_5 q_2^{(1)} + d_4 q_3^{(1)} + d_3 q_4^{(1)} \\ = 1 + 1 = 0$$

$$k=7 \quad c_7^{(1)} = d_7 q_1^{(1)} + d_6 q_2^{(1)} + d_5 q_3^{(1)} + d_4 q_4^{(1)} \\ = 0 + 0 + 0 + 1(1) = 1 //$$

$$k=8 \quad c_8^{(1)} = d_8 q_1^{(1)} + d_7 q_2^{(1)} + d_6 q_3^{(1)} + d_5 q_4^{(1)} \\ = 0 + 0 + 0 + 1(1) = 1 //$$

o/p of top address

$$c^{(1)} = c_1^{(1)} c_2^{(1)} c_3^{(1)} c_4^{(1)} c_5^{(1)} c_6^{(1)} c_7^{(1)} c_8^{(1)} = 10000001$$

For j=2

$$c_u^{(2)} = \sum_{i=0}^3 d_{u-i} q_{i+1}^{(2)}$$

$$c_u^{(2)} = d_u q_1^{(2)} + d_{u-1} q_2^{(2)} + d_{u-2} q_3^{(2)} + d_{u-3} q_4^{(2)} \quad \text{--- (2)}$$

$$q^{(2)} = q_1^{(2)} q_2^{(2)} q_3^{(2)} q_4^{(2)} = 1111$$

for $d=1$ $c_1^{(2)} = 1 //$

$$c_u^{(2)} = 11011101$$

\therefore encoder o/p is

$$C = [11, 01, 00, 01, 01, 01, 00, 11]$$

IInd Method :-

no. of rows equal to = no. of digits in the msg Sequence. (L)

column equal to = $n(L+m)$

$$G = \begin{bmatrix} q_1^{(1)} & q_1^{(2)} & q_2^{(1)} & q_2^{(2)} & q_3^{(1)} & q_3^{(2)} & \dots & q_{m+1}^{(1)} & q_{m+2}^{(2)} & 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & q_1^{(2)} & q_2^{(1)} & q_2^{(2)} & q_3^{(1)} & \dots & & & q_{m+1}^{(1)} & q_{m+2}^{(1)} & 0 & \dots & 0 \\ \vdots & & & & & & & & & & & & & \end{bmatrix}$$

$$q_{m+1}^{(1)} q_{m+2}^{(2)}$$

$$C = dG$$

$$d = 10111$$

$$g^{(1)} = 1011$$

$$g^{(2)} = 1111$$

$$L=5, \quad n(L+m) = 2(5+3) = 16 \text{ column.}$$

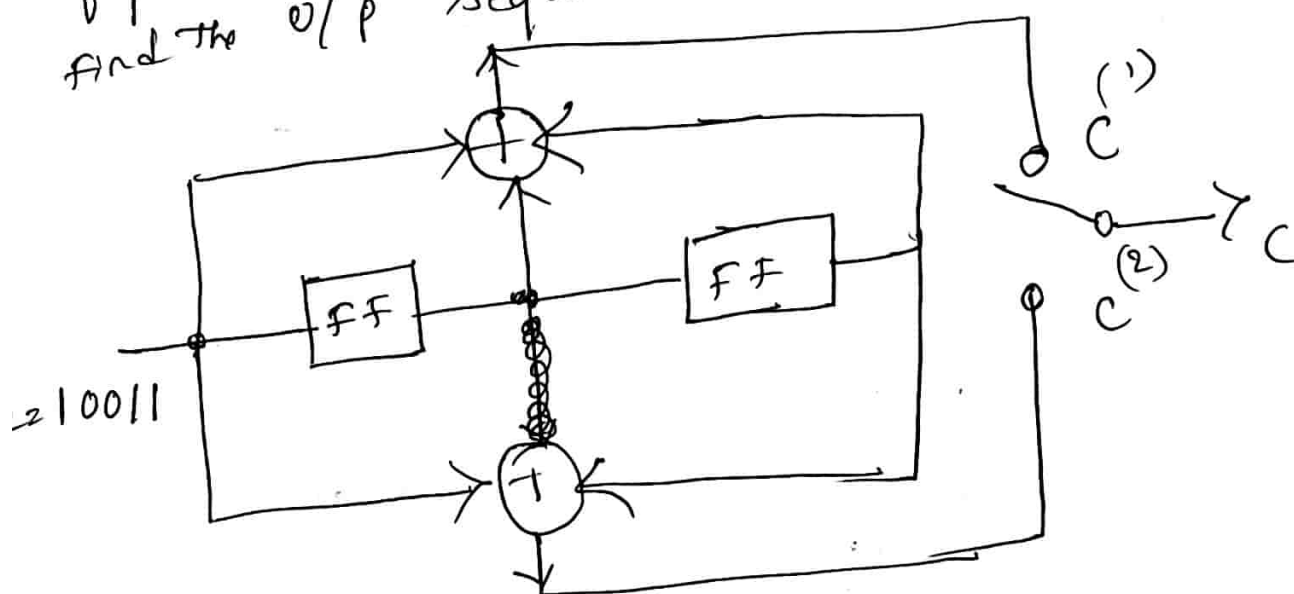
$$G = \begin{bmatrix} 11 & 01 & 11 & 11 & 00 & 00 & 00 & 00 \\ 00 & 11 & 01 & 11 & 11 & 00 & 00 & 00 \\ 00 & 00 & 11 & 01 & 11 & 11 & 00 & 00 \\ 00 & 00 & 00 & 11 & 01 & 11 & 11 & 00 \\ 00 & 00 & 00 & 00 & 11 & 01 & 11 & 11 \end{bmatrix}$$

$$c = DG$$

$$c = [11, 01, 00, 01, 01, 01, 00, 11]$$

Assignment

For the convolutional encoder shown in below figure. the information sequence is $d = 10011$. Find the o/p sequence.



$$n=2, \quad K=1, \quad m=2$$

$(2,1,2)$ convolutional encoder.

$$\text{Ans: } [11 \ 10 \ 11 \ 11 \ 01 \ 01 \ 11]$$

top address

$$q^{(1)} = 211, \quad q^{(2)} = 101$$

$$d = 10011, \quad r = 5, \quad c = n(L+m) = 2(5+2) = 14$$

$$G = \begin{bmatrix} 11 & 10 & 11 & 00 & 00 & 00 & 00 \\ 00 & 11 & 10 & 11 & 00 & 00 & 00 \\ 00 & 00 & 11 & 10 & 11 & 00 & 00 \\ 00 & 00 & 00 & 11 & 10 & 11 & 00 \\ 00 & 00 & 00 & 00 & 11 & 10 & 11 \end{bmatrix}$$

$$[c] = [1 \ 0 \ 0 \ 11] \rightarrow$$

$$= [11 \ 10 \ 11 \ 11 \ 01 \ 01 \ 11]$$

Transform Domain Approach

$$g^{(i)}(x) = g_1^{(i)} + g_2^{(i)}x + g_3^{(i)}x^2 + \dots + g_{m+1}^{(i)}x^m$$

$$q^{(2)} = 101 \quad q^{(1)} = 111, \quad d = 10011$$

$$q^{(2)}(x) = 1 + x^2 \quad q^{(1)}(x) = 1 + x + x^2 \quad d(x) = 1 + x^3 + x^4$$

$$\rightarrow c^{(i)}(x) = d(x) q^{(i)}(x)$$

$$c(x) = d(x) q(x)$$

$$c'(x) = d(x) q'(x)$$

$$= (1 + x^3 + x^4)(1 + x + x^2)$$

$$= 1 + x + x^2 + x^4 + x^5 + x^6$$

$$= 1 + x^4 + x^6$$

$$= 1 + x + x^2 + x^3 + x^4 + x^5 + x^6$$

$$= 1 + x + x^2 + x^3 + x^6 //$$

$$c^{(2)}(x) = d(x) \otimes g^{(2)}(x)$$

$$= (1+x^3+x^4) (1+x^2)$$

$$= 1+x^2+x^3+x^5+x^4+x^6$$

~~$$c(x) = \frac{1+x^2+x^4+x^6}{1+x^2+x^4+x^6}$$~~

$$c(x) = c^{(1)}(x^n) + x c^{(2)}(x^n) + x^2 c^{(3)}(x^n) + \dots + x^{n-1} c^{(n)}(x^n)$$

$$\begin{aligned} \therefore c(x) &= c^{(1)}(x^2) + x c^{(2)}(x^2) \\ &= 1+x^2+x^4+x^6+x^8+x^{10}+x^{12} + x(1+x^2+x^4+x^6+x^8+x^{10}+x^{12}) \\ &= 1+x^2+x^4+x^6+x^8+x^{10}+x^{12} + x+x^3+x^5+x^7+x^9+x^{11}+x^{13} \\ &= 1+x+x^2+x^3+x^4+x^5+x^6+x^7+x^8+x^9+x^{10}+x^{11}+x^{12}+x^{13} \\ &= [1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1] \end{aligned}$$

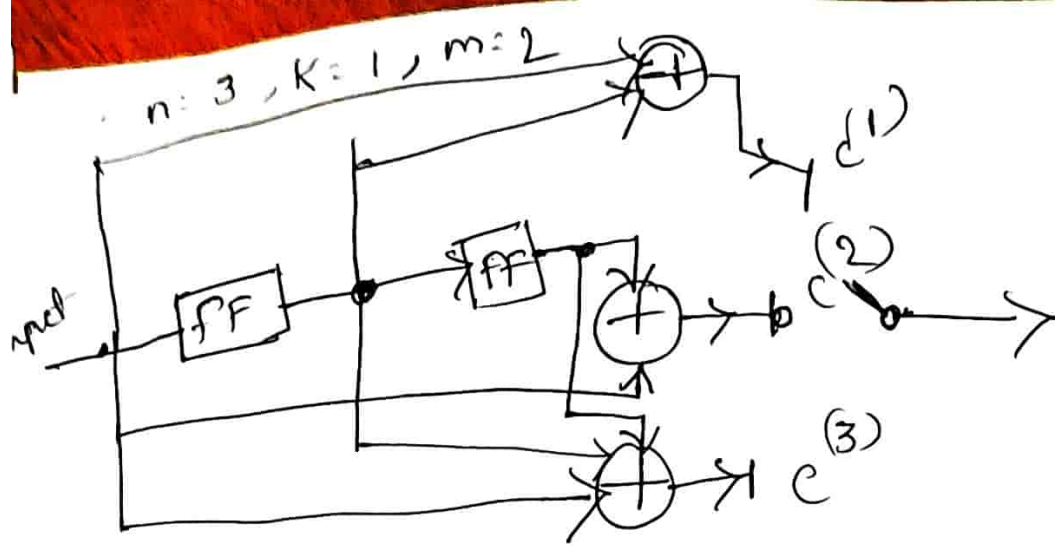
Assignment Question:

consider the $(3,1,2)$ convolutional code with
 $g^{(1)} = 110$ $g^{(2)} = (101)$ & $g^{(3)} = (111)$.

i) Draw the encoder block diagram

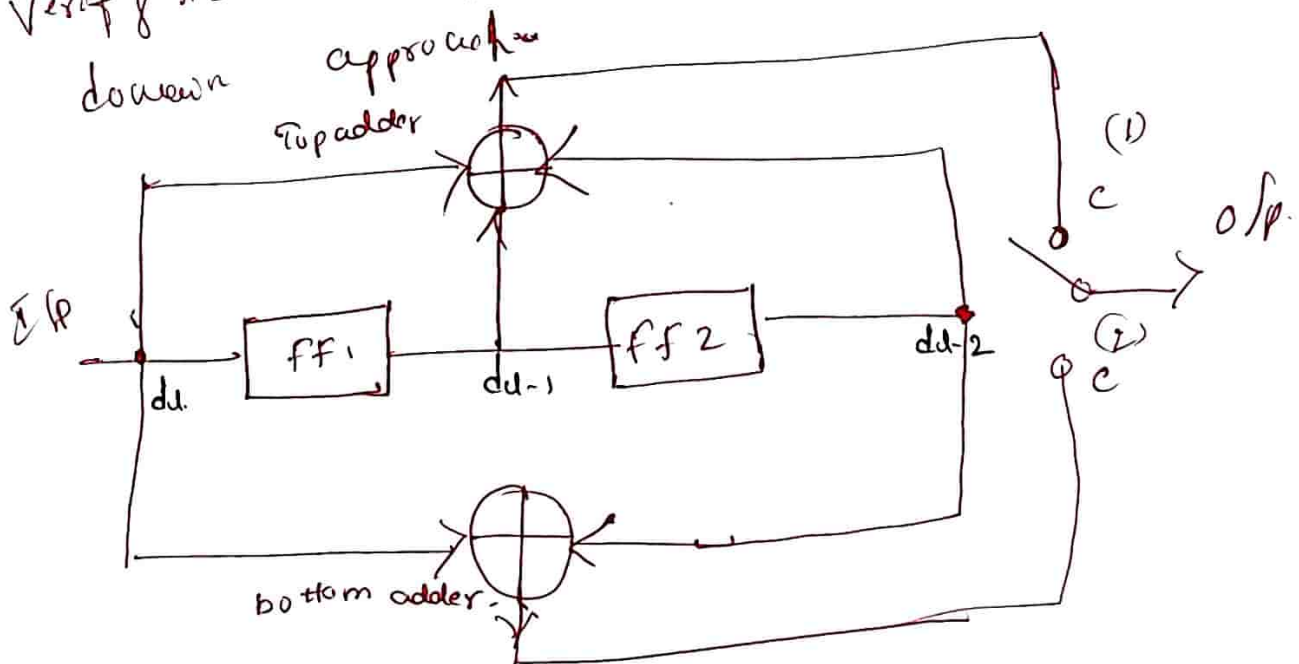
ii) Find the generator matrix

iii) Find the code-word corresponding to the information sequence (11101) using Time-domain & transform-domain approach.



convolutional code state graph, code tree

i) consider the binary convolutional encoder shown in figure below. Draw the state table, state transition table, state diagram & the corresponding code tree. using the code tree, find the encoded sequence for the message (10111). Verify the o/p sequence so obtained using transform



State - 2 flip flops 2² = 4 states

State	Binary description
S ₀	00
S ₁	10
S ₂	01
S ₃	11

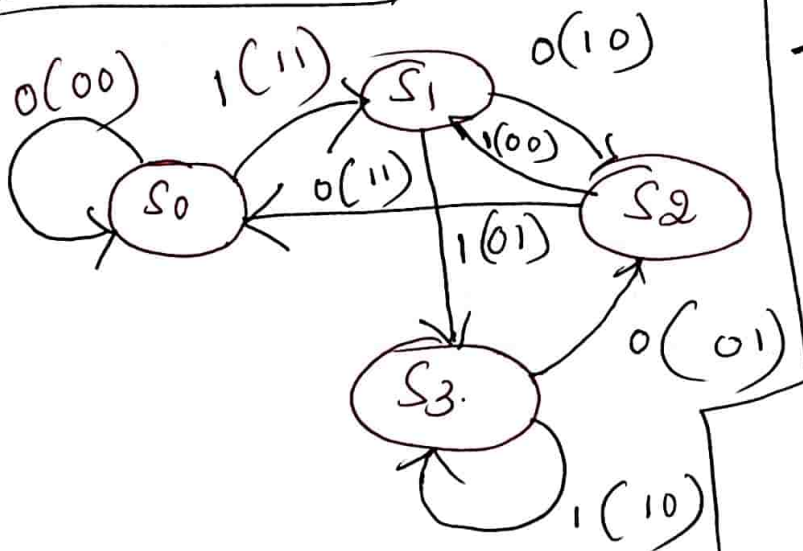
$$C^{(1)} = d_n + d_{n-1} + d_{n-2}$$

$$C^{(2)} = d_n + d_{n-2}$$

State Transition Table

present state	Binary Description	Input	Next state	Binary Description	d _n	d _{n-1}	d _{n-2}	O/P C ⁽¹⁾ C ⁽²⁾
S ₀	00	0	S ₀	00	0	0	0	00
S ₀	00	1	S ₁	10	1	0	0	11
S ₁	10	0	S ₂	01	0	1	0	10
S ₁	10	1	S ₃	11	1	1	0	01
S ₂	01	0	S ₀	00	0	0	1	11
S ₂	01	1	S ₁	10	1	0	1	00
S ₃	11	0	S ₂	01	0	1	1	01
S ₃	11	1	S ₃	11	1	1	1	10

State Diagram.



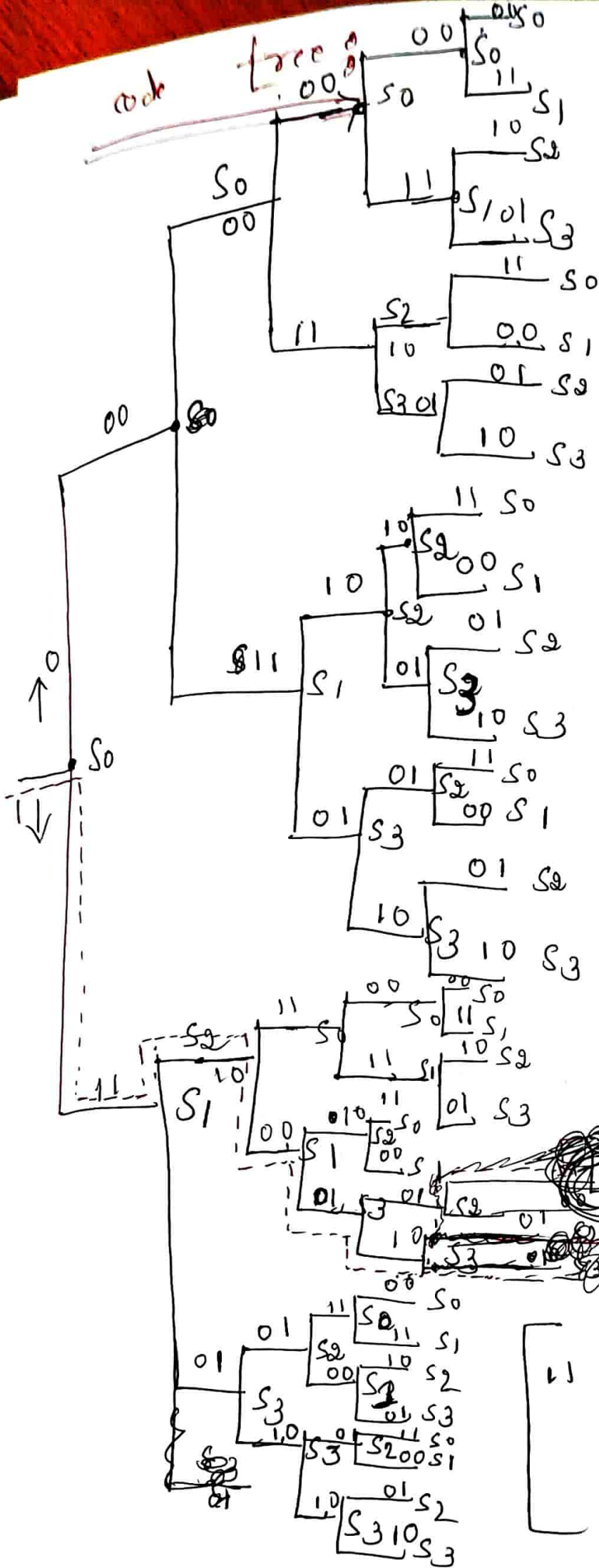
d = 1 0 1 1 1

$S_0 \xrightarrow{1} S_1 \xrightarrow{0} S_2 \xrightarrow{1} S_3$
 $\xrightarrow{1} S_3 \xrightarrow{1} S_3 \xrightarrow{0} S_0$
 $S_2 \xrightarrow{0} S_0$

O/P

11 10 00 01
 10 01 11
11

ack tree



$$D = 10111$$

$$\left[\begin{array}{ccccccc} 11 & 10 & 00 & 01 & 10 & 01 \\ & & & & & 11 \end{array} \right]$$

$$q^{(1)} = 1 \ 1 \ 1 = 1 + x + x^2$$

$$q^{(2)} = 1 \ 0 \ 1 = 1 + x^2$$

$$c^{(1)}(x) = d(x) q^{(1)}(x)$$

$$= 1 + x^2 + x^3 + x^4 (1 + x + x^2)$$

$$= 1 + x + x^2 + x^3 + x^4 + x^5 + x^6$$

$$= 1 + x + x^4 + x^6 //$$

$$c^2(x) = (1 + x^2 + x^3 + x^4) (1 + x^2)$$

$$= 1 + x^2 + x^4 + x^3 + x^5 + x^4 + x^6$$

$$= 1 + x^3 + x^5 + x^6$$

no. of modulo-2 adders $n=2$.

$$c(x) = c^{(1)}(x^2) + x c^{(2)}(x^2)$$

$$= 1 + x + x^2 + x^3 + x^5 + x^6 + x(1 + x^6 + x^{10} + x^{12})$$

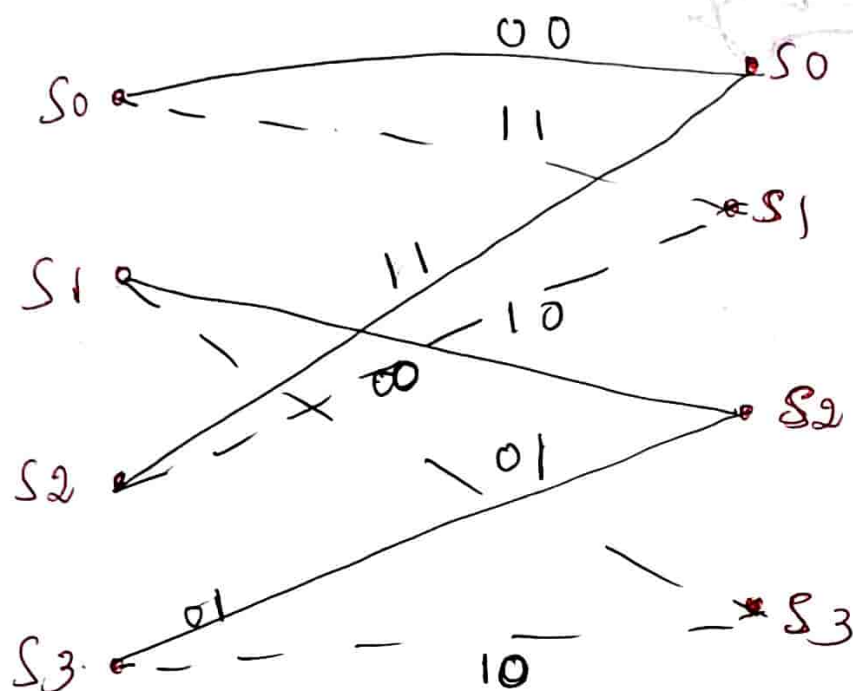
$$c(x) = 1 + x + x^2 + x^3 + x^7 + x^8 + x^{11} + x^{12} + x^{13}$$

$$= [11 \ 10 \ 00 \ 01 \ 10 \ 01 \ 11]$$

Trellis
tree

Diagram :
example as previous one

Solid line - 0
Dot line - 1



$$D = 10111$$

$$S_0 \xrightarrow{1} S_1 \xrightarrow{0} S_2 \xrightarrow{10} S_1 \xrightarrow{1} S_3 \xrightarrow{1} S_3 \xrightarrow{0}$$

$$S_2 \xrightarrow{0} S_0$$

$$\left[\begin{array}{cccccc} 11 & 10 & 00 & 01 & 10 & 01 & 11 \end{array} \right]$$

Viterbi algorithm

Basics of VA

- * It is a method of decoding convolution codes.
- * Here we use trellis decoder to decode received data.

Objective of Viterbi algorithm :-

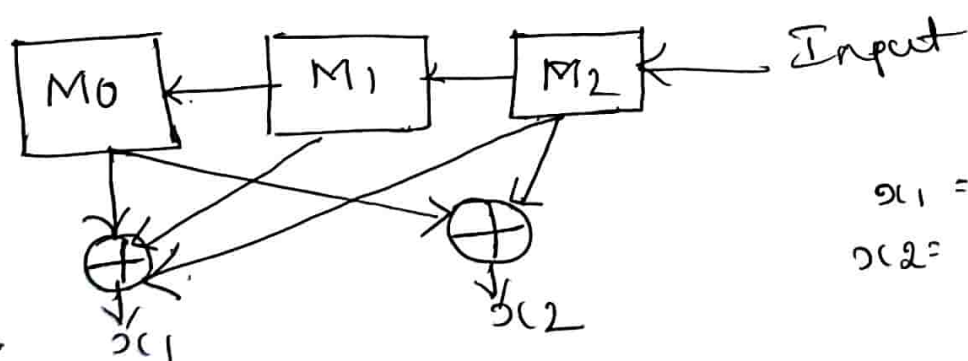
To find the best path through the trellis that is closest to the received data bit sequence.

process of Viterbi Algorithm

* following steps are to be followed to solve Viterbi algorithm.

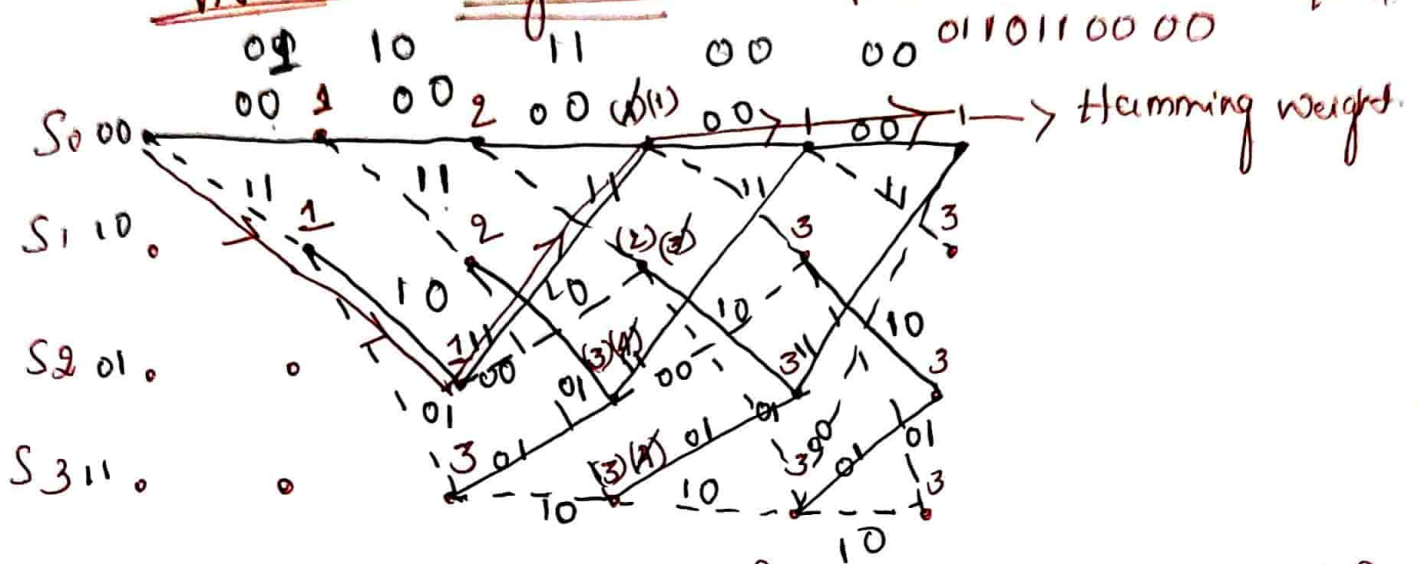
- * Make trellis encoder
- * From Trellis encoder make trellis diagram
- * Match weightage with respect to trellis diagram
- * find minimum weightage path on trellis.

problem :-



$$x(1) = M_0 \oplus M_1 \oplus M_2$$
$$x(2) = M_0 \oplus M_2$$

Viterbi Algorithm :- Received Data bit Sequence



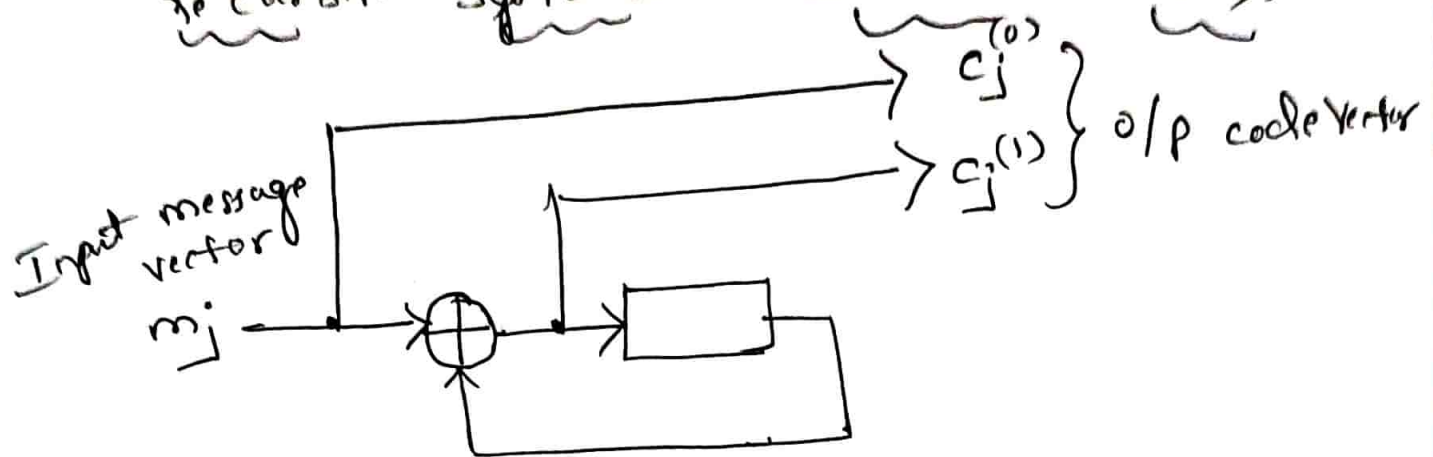
Decoded data Sequence is 1110110000

* Received Data bit Sequence is given by
 0110110000

* Decoded data bit Sequence. is given by
 1110110000

If we compare both codes will get only
 single bit Error.

Recursive Systematic convolutional codes



There are 2 distinguishing features.

1. The code is Systematic, in that the incoming message vector m_j at time-unit j defines the Systematic part of the code vector c_j at the output of the encoder.

2. The code is recursive by virtue of the fact that the other constituent of the code vector, namely the parity-check vector b_j , is related to the message vector m_j by the modulo-2 recursive equation.

$$m_j + b_{j-1} = b_j \quad - (1)$$

where $b_{j-1} \rightarrow$ past value

$b_j \rightarrow$ present value.

It is more convenient to work in the transform D-domain.

$$b_{j-1} = D [b_j] \quad - (2)$$

equivalent eq of eqn (1)

$$b_j = \frac{1}{1+D} [m_j] \quad - (3)$$

where the transfer fun. $\frac{1}{1+D}$ operates on m_j to produce b_j . with the code vector c_j consisting of the message vector m_j followed by the parity-check vector b_j , we may express the code vector c_j produced in response to the message vector m_j as follows.

$$c_j = (m_j, b_j) \\ = \left(1, \frac{1}{1+D}\right) m_j$$

$$G(D) = \left(1, \frac{1}{1+D}\right)$$

* For recursive Systematic convolutional codes, the transform domain matrix $G(D)$ is easier to use as the code generator than the corresponding time-domain matrix G whose entries contain sequences of infinite length.

* A single error in the Systematic bits of an RSC code produces an infinite no. of parity-check errors due to the use of feedback in the encoder.